



## DUCON INFRA TECHNOLOGIES LIMITED

Regd. Office : Ducon House, A/4, MIDC Wagle Industrial Estate, Road No.1,  
Thane (W) – 400 604. India Tel. : 022 41122114 (30 lines) Fax 022 41122115  
URL : [www.duconinfra.co.in](http://www.duconinfra.co.in) CIN : L72900MH2009PLC191412

30<sup>th</sup> May, 2019

To,  
The Bombay Stock Exchange Limited  
P.J.Tower, Dalal Street,  
Mumbai- 400001

Dear Sirs,

**Scrip Code: BSE 534674/ NSE Symbol: DUCON**

**Sub.: Annual Secretarial Compliance Report.**

Ref.: SEBI Circular No. C1R/CFD/CMD1/27/2019 dated 8<sup>th</sup> February 2019,

In terms of SEBI Circular No.CIR/CFD/CMDI/27/2019 dated 8<sup>th</sup> February 2019, please find enclosed the Annual Secretarial Compliance Report dated 29<sup>th</sup> May, 2019 of Ducon Infratechnologies Limited for the year ended 31<sup>st</sup> March 2019, issued by Mr. Gaurang Shah , Practising Company Secretary, Mumbai.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Ducon Infratechnologies Ltd.**

**Darshit Parikh**

**Company Secretary**

CC: The National Stock Exchange of India Limited, Mumbai.



# GMS & CO.

## Practicing Company Secretaries

Office : 302-A-wing, Rushabh Enclave, Near Ambemata Temple, Bhayander (w), Thane - 401101.

Contact Number : 09967810333 • Email id : 16gmsandco@gmail.com

### Annex-A

Secretarial compliance report of Ducon Infratechnologies Limited for the year ended 31.03.2019

I, Mr. Gaurang Shah have examined:

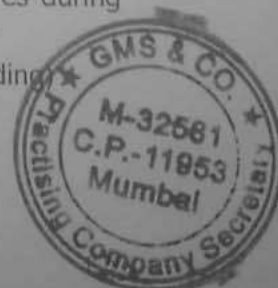
- (a) all the documents and records made available to us and explanation provided by Ducon Infratechnologies Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31.03.2019 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018-**Not applicable** as the Company has not brought back/ propose to buyback any of its securities during the financial year under review;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008-**Not applicable** as the Company has not issued any debt securities during the financial year under review;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013-**Not applicable** as the Company has not issued any preference shares during the financial year under review;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading)



Regulations, 2015;

- (i) Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999
- (j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with client- **Not applicable** as the Company is not registered as Registrar to Issue and Share Transfer Agents during the year under review;
- (k) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009- **Not applicable** as the Company has not delisted or propose to delist its equity shares from any stock exchange during the financial year under review;

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder except:- Nil

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	Nil	Nil	Nil

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: Not Applicable

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: Not Applicable



Date: 29/05/2019  
Place: Mumbai

Gaurang M. Shah