



## DUCON INFRA TECHNOLOGIES LIMITED

**Regd. Office:** Ducon House, Plot No. A/4, Road No.1, MIDC,  
Wagle Industrial Estate, Thane (W) – 400 604. India  
Tel. : 91-22-41122114, Fax 022 41122115 URL : www.duconinfra.co.in  
Email: info@duconinfra.co.in, CIN No: **L72900MH2009PLC191412**

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Date: 27<sup>th</sup> May, 2022

To,  
Manager-CRD  
**The Bombay Stock Exchange Ltd, Mumbai**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400001.

**Script Code: BSE 534674 /NSE DUCON**

**Sub: Annual Secretarial Compliance Report under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In terms of SEBI circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed Annual Secretarial Compliance report of Ducon Infratechnologies Limited for the year ended on 31<sup>st</sup> March, 2022, issued by Mr. Vijay Tiwari, Practising Company Secretary, Mumbai.

Kindly acknowledge the receipt for the same.

Thanking you,

Yours faithfully,

**For Ducon Infratechnologies Ltd.**

Darshit  
Prakash  
Parikh

Digitally signed by  
Darshit Prakash  
Parikh  
Date: 2022.05.27  
11:43:30 +05'30'

**Darshit Parikh**  
**Company Secretary**

CC: National Stock Exchange of India Limited  
Mumbai



**Secretarial Compliance Report of Ducon Infratechnologies Limited for the year ended 31.03.2022**

I, Vijaykumar Tiwari, have examined:

- (a) all the documents and records made available to us and explanation provided by Ducon Infratechnologies Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

for the year ended 31.03.2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018-**Not applicable** as the Company has not bought back/ propose to buyback any of its securities during the financial year under review;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- **Not applicable** during the financial year under review;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008-**Not applicable** as the Company has not issued any debt securities during the financial year under review;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013-**Not applicable** as the Company has not issued any preference shares during the financial year under review;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015



- (i) Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999- **Not applicable** as the Company has not issued any ESOP during the financial year under review;
- (j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with client- **Not applicable** as the Company is not registered as Registrar to Issue and Share Transfer Agents during the financial year under review;
- (k) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009- **Not applicable** as the Company has not delisted or propose to delist its equity shares from any stock exchange during the financial year under review

and circulars/ guidelines issued there under and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	National Stock Exchange of India Limited and BSE Limited	Non compliance of Regulation 17(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 relating to Composition of Board of Directors	The Company had received letters from National Stock Exchange of India Limited and BSE Limited on 21.02.2022 regarding levitation of fine of Rs.3,54,000/- (including GST) per exchange.	The Company has filed a waiver application with both of the Exchanges stating that inadvertently Category of Mr. Maruti Deore (DIN:02780312) was mentioned as Executive, Non-Independent instead of Non-Executive, Independent.





(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	National Stock Exchange of India Limited and BSE Limited	Regulation 17(2A) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company had made payment of Fine levied by National Stock Exchange of India Limited and BSE Limited on 18.02.2021 and 24.02.2021 respectively of Rs. 11,800/- (including GST) each	The Company had made payment of Fine levied by National Stock Exchange of India Limited and BSE Limited on 18.02.2021 and 24.02.2021 respectively of Rs. 11,800/- (including GST) each

**For Vijay S. Tiwari & Associates**

**Vijaykumar Tiwari**  
Proprietor

**Mem No.: 33084**

**C. P. No.: 12220**

**UDIN: A033084D000400088**

**Peer Review Certificate No.: 1679/2022**



**Date: 26/05/2022**

**Place: Mumbai**